

Table I
CITY OF NEW YORK FISCAL AND EMPLOYEE DATA
(dollars in millions)

	FY 1999	FY 2000	FY 2001	FY 2002^a	FY 2003^b
OPERATING REVENUES					
Taxes	\$21,428	\$22,506	\$23,619	\$22,321	\$23,493
Real Property	7,631	7,850	8,246	8,753	9,109
Sales and Use	3,814	4,143	4,179	3,960	4,209
Personal Income ^c	5,571	5,664	6,217	5,190	5,544
Business	2,690	3,178	3,279	2,754	3,006
Other Taxes ^d	1,722	1,671	1,698	1,664	1,625
Federal Categorical Grants ^e	4,262	4,417	4,550	6,344	4,419
State Categorical Grants ^e	6,639	7,062	7,768	8,091	8,297
Unrestricted Federal and State Aid	652	631	634	695	790
Other Revenues ^f	3,021	3,543	4,125	4,217	5,714 ^g
Inter-fund Revenues	249	240	284	320	326
Total	\$36,251	\$38,399	\$40,980	\$41,988	\$43,038
OPERATING EXPENDITURES					
Personal Service	\$18,535	\$19,184	\$21,183	\$22,653	\$22,955
Other-than-personal Service	8,463	9,569	10,673	11,328	11,008
Medicaid (includes HHC)	3,085	3,302	3,514	3,785	3,890
Public Assistance	2,278	2,275	2,162	2,289	2,152
Debt Service ^h	3,885	4,065	3,444	1,933	3,033
Total	\$36,246	\$38,394	\$40,975	\$41,988	\$43,038
Operating Surplus	\$5	\$5	\$5	\$ --ⁱ	\$ --ⁱ
CAPITAL BUDGET EXPENDITURES	\$4,841	\$4,809	\$5,310	\$5,665	\$5,667
FULL-TIME EMPLOYEES (as of June 30)					
Education	96,930	100,748	102,583	102,320	102,382
Police	48,092	49,269	48,004	46,003	46,039
Uniformed	39,035	40,285	38,630	36,790	36,878
Civilian	9,057	8,984	9,374	9,213	9,161
Fire	15,937	15,987	15,642	15,724	15,632
Social Services	13,123	13,154	12,624	12,349	12,480
Correction	12,877	12,411	12,176	12,210	12,491
Sanitation	9,400	9,977	10,166	10,034	9,751
Administration for Children's Services	7,245	7,121	7,121	7,525	7,324
Environmental Protection	5,624	5,565	5,414	5,430	6,064
Transportation	4,032	3,945	3,941	3,971	3,992
CUNY Community Colleges	3,781	3,756	3,763	3,795	3,687
Housing Preservation & Development	2,548	2,465	2,379	2,353	2,646
Finance	2,276	2,142	2,023	2,000	2,097
Other	24,771	24,272	23,988	23,967	23,979
Total	246,636	250,812	249,824	247,681	248,564

^a Revenues and expenditures are estimated, not audited; employees data are actuals.

^b Figures are planned as of September, 2002.

^c Includes Transitional Finance Authority (TFA) revenues.

^d Includes tax audit revenue.

^e Gross basis.

^f Includes tobacco settlement revenues.

^g Includes \$1.5 billion in borrowing from the TFA and \$230 million in anticipated federal aid.

^h Includes prepayments of a part of subsequent years' obligations.

ⁱ To be determined by audit.

Table II
CITY OF NEW YORK
EXPENDITURES BY AGENCY
(dollars in millions)

	FY 1999	FY 2000	FY 2001	FY 2002^a	FY 2003^b
Administration for Children's Services	\$2,051	\$2,152	\$2,237	\$2,409	\$2,356
Aging	187	211	230	243	224
Borough presidents	29	32	31	30	24
City Council	35	39	44	48	46
City University	377	384	393	457	465
Citywide Administrative Services	145	177	193	254	242
Comptroller	50	53	53	56	54
Correction	828	833	827	889	923
Cultural Affairs	101	116	134	124	131
Debt service	3,354	3,327	2,509	1,423	2,082
Debt service, MAC ^c	386	451	458	5	255
Debt service, TFA	138	247	407	450	578
Debt service, TSASC	0	28	56	55	118
District attorneys	214	226	245	247	220
Education	9,478	10,674	11,545	11,700	12,354
Employment	127	133	91	140	117
Environmental Protection	534	567	601	643	692
Finance	159	165	176	185	186
Fire	1,026	1,078	1,072	1,246	1,075
Fringe benefits	1,825	2,065	2,200	2,469	2,291
Health and Mental Hygiene ^d	929	1,042	1,202	1,369	1,335
Health and Hospitals Corporation	722	735	757	832	851
Homeless Services	376	424	473	536	583
Housing Preservation and Development	356	353	390	441	350
Information Technology & Telecommunications	52	60	50	60	74
Juvenile Justice	99	102	109	111	108
Law	82	88	93	92	98
Libraries	212	233	383	124	251
Mayoralty	77	86	83	122	72
Parks & Recreation	163	173	184	183	168
Pension contributions	1,342	615	1,127	1,398	1,701
Police	2,843	3,084	3,273	3,669	3,227
Probation	76	83	90	90	82
Sanitation	705	829	924	1,002	962
Social Services	5,151	5,409	5,686	5,856	5,773
Transportation	298	365	332	384	335
Youth & Community Development	108	122	139	160	149
All other	1,361	1,393	1,893	2,166	2,160
Total^e	\$35,997	\$38,155	\$40,690	\$41,669	\$42,713

^a Estimated, not audited.

^b As planned as of September, 2002.

^c Includes prepayments.

^d The Department of Health and the Department of Mental Health merged in 2002.

^e Totals do not equal those in Table I because inter-fund expenditures are excluded in Table II.

Table III
CITY OF NEW YORK
REAL PROPERTY TAX AND DEBT DATA

	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003
TAXABLE REAL PROPERTY					
Full Market Value (<i>\$ in millions</i>)	\$311,369	\$326,922	\$354,348	\$392,347	\$429,810
Class I (<i>1-, 2- and 3-family homes</i>)	136,195	143,144	158,940	180,722	205,031
Class II (<i>other residential</i>)	68,070	72,470	77,994	85,930	94,015
Class III (<i>utility property</i>)	14,499	14,731	14,064	14,536	15,214
Class IV (<i>all other property</i>)	92,605	96,577	103,350	111,159	115,550
Total Assessed Value (<i>\$ in millions</i>)	\$82,155	\$85,868	\$90,570	\$97,486	\$102,705
Billable Assessed Value (<i>\$ in millions</i>)	\$77,699	\$80,629	\$84,060	\$89,279	\$94,238
Class I	9,235	9,835	10,364	10,794	11,269
Class II	24,965	26,256	27,719	29,967	32,287
Class III	6,513	6,619	6,320	6,531	6,836
Class IV	36,986	37,919	39,657	41,987	43,846
Nominal Tax Rate (<i>per \$100 assessed value</i>)					
Class I	\$10.961	\$11.167	\$11.255	\$11.951	\$12.287
Class II	10.739	10.851	10.847	10.792	10.564
Class III	8.800	9.398	10.540	10.530	10.541
Class IV	10.236	9.989	9.768	9.625	9.695
Effective Tax Rate (<i>per \$100 market value</i>)					
Class I	\$0.771	\$0.776	\$0.746	\$0.721	\$0.689
Class II	3.953	3.938	3.862	3.770	3.634
Class III	3.953	4.223	4.876	4.731	4.736
Class IV	4.088	3.922	3.721	3.636	3.679
Tax Levy (<i>\$ in millions</i>)	\$8,099.3	\$8,374.3	\$8,730.3	\$9,271.2	\$9,785.6
Class I	1,049.8	1,110.2	1,178.6	1,265.5	1,402.2
Class II	2,690.6	2,854.1	3,012.0	3,239.7	3,428.9
Class III	573.1	622.1	666.1	688.4	685.0
Class IV	3,785.9	3,787.8	3,873.6	4,077.9	4,269.5
Class Shares of Tax Levy					
Class I	12.96%	13.26%	13.50%	13.65%	14.33%
Class II	33.22%	34.08%	34.50%	34.94%	35.04%
Class III	7.08%	7.43%	7.63%	7.43%	7.00%
Class IV	46.74%	45.23%	44.37%	43.98%	43.63%
Tax Exempt Property (<i>\$ in millions</i>)	\$63,229	\$65,784	\$67,804	\$70,431	NA
Tax Delinquency (<i>\$ in millions</i>)	\$276.2	\$260.5	\$270.6	\$362.2	\$344.1 ^a
GROSS FUNDED DEBT OUTSTANDING (<i>end of year, \$ in millions</i>)					
General Obligation and Other Debt ^b	\$27,601	\$27,721	\$27,620	\$29,194	\$30,054 ^a
Municipal Assistance Corporation	3,832	3,532	3,217	2,880	2,525 ^a
Transitional Finance Authority	4,150	6,438	7,386	9,311	11,436 ^a
Capital Lease and City-Guaranteed	2,112	2,374	2,347	2,422	2,959 ^a
Water Finance Authority	9,372	9,777	10,215	11,222	12,822 ^a
Total	\$47,067	\$49,841	\$50,785	\$55,029	\$59,796^a

^a Estimated.

^b Other debt includes TSASC and Samurai Funding Corporation.

NA - Not available.

Table IV
STATE OF NEW YORK FISCAL AND EMPLOYEE DATA
(dollars in millions)

	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003^a
NON-CAPITAL GOVERNMENTAL FUNDS^b					
REVENUES					
Taxes	\$37,862	\$40,771	\$44,013	\$40,168	\$41,610
Personal Income	21,011	23,599	27,371	24,177	\$25,198
Consumption and Use	9,664	10,200	10,541	10,221	9,987
Business	5,868	5,632	5,080	4,381	5,409
Other	1,318	1,340	1,020	1,390	1,016
Federal Grants	22,859	24,004	25,215	28,525	29,833
Lottery Proceeds	3,698	3,629	4,185	4,754	5,525
Miscellaneous Revenues	3,879	6,251	6,609	6,492	6,067
Proceeds from Financing Arrangements	2,391	563	882	263	259
Transfers from Other Funds	9,725	10,071	10,850	10,418	12,415
Total	\$80,413	\$85,289	\$91,755	\$90,619	\$95,709
EXPENDITURES					
Grants to Local Governments	\$47,194	\$50,997	\$55,482	\$59,112	\$61,922
State Operations	13,929	14,426	15,881	16,909	17,755
Personal Service	6,803	7,098	7,568	7,745	8,002
Non-Personal Service	5,202	5,388	6,217	6,825	7,193
Pension Contributions & Other Fringe Benefits	1,924	1,940	2,096	2,339	2,560
Debt Service	2,889	3,317	3,679	3,392	2,906
Payments on Advanced Refundings	1,977	100	370	-	-
Transfers to Other Funds	13,254	13,518	14,865	14,503	13,657
Disaster Assistance - World Trade Center ^c	NAP	NAP	NAP	1,016	68
Total	\$79,243	\$82,357	\$90,276	\$94,931	\$96,308
Annual Surplus/(Deficit)	\$1,170	\$2,933	\$1,478	(\$4,312)	(\$599)
CAPITAL PROJECTS FUND					
REVENUES					
Proceeds from Bonds/Financing Arrangements	\$1,841	\$1,796	\$1,779	\$1,485	\$2,068
Federal Grants/Other Sources	1,644	1,719	1,903	1,875	2,151
Taxes	1,124	1,117	1,242	1,508	1,674
Total	\$4,610	\$4,633	\$4,923	\$4,868	\$5,893
EXPENDITURES					
Local Assistance Grants	\$354	\$389	\$595	\$633	\$1,163
Capital Construction	3,393	3,442	3,448	3,397	3,889
Other Financing Uses	709	703	771	970	1,287
Total	\$4,456	\$4,534	\$4,814	\$5,001	\$6,339
Annual Surplus/(Deficit)	\$154	\$98	\$109	(\$133)	(\$446)
FULL-TIME EQUIVALENT EMPLOYEES (as of March 31)					
State University of New York	37,876	38,853	40,080	41,511	NA
Mental Health	18,848	18,128	18,322	17,729	NA
Correctional Services	32,246	32,483	32,768	32,018	NA
Mental Retardation	21,763	21,646	21,695	21,542	NA
City University of New York ^d	20,865	17,526	17,480	17,990	NA
Judiciary	15,508	15,839	16,251	16,706	NA
Transportation	10,957	10,639	10,750	10,541	NA
Other	73,365	70,797	71,484	72,056	NA
Total	231,428	225,911	228,830	230,093	NA

^a Projected as of September, 2002.

^b Includes general, special revenue, and debt service funds.

^c Excludes \$2.76 billion in federal "flow through" disaster aid.

^d Employee totals may be elevated due to double counting of some employees.

Note - Amounts for Grants to Local Governments and State Operations do not equal those in Table V because expenditures differ from cash disbursements and because Table IV shows expenditures of the general, special revenue, and debt service funds.
NAP - Not applicable. NA - Not available.

Table V
STATE OF NEW YORK GENERAL FUND DISBURSEMENTS
FOR GRANTS TO LOCAL GOVERNMENTS AND STATE OPERATIONS
(dollars in millions)

	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003^a
GRANTS TO LOCAL GOVERNMENTS					
Elementary and Secondary Education	\$10,915	\$11,835	\$12,699	\$13,451	\$13,675 ^b
Higher Education	1,609	1,640	1,701	1,780	1,393 ^b
Medical Assistance	5,563	5,783	5,722	6,204	5,846 ^b
Other Social Services	2,931	3,302	3,254	3,274	2,555 ^b
Unrestricted Aid	831	831	953	845	834
Other	2,844	2,245	2,338	2,281	2,545
Total	\$24,693	\$25,636	\$26,667	\$27,835	\$26,848^b
STATE OPERATIONS					
Correctional Services	\$1,574	\$1,563	\$1,806	\$1,807	\$1,799
Education	71	69	78	76	43
Health	147	139	180	184	179
Judiciary	1,045	1,081	1,212	1,261	1,305
Legislature	182	191	195	207	207
Mental Health	481	496	614	729	657
Mental Retardation	119	127	196	144	160
Family Assistance	285	258	326	293	325
State Police	289	275	283	381	335
State University of New York	849	760	913	1,051	1,094
Taxation and Finance	280	281	290	299 ^c	335
Transportation	178	165	184	5 ^c	0 ^c
All Others	1,172	1,197	1,327	1,401	1,376
Total	\$6,672	\$6,600	\$7,604	\$7,839	\$7,815

^a Projected as of September, 2002.

^b General fund disbursements reduced by \$885 million due to use of federal funds under TANF program for higher education and welfare assistance.

^c General fund transportation disbursements reduced due to a shift of expenses to a special revenue fund.

Table VI
STATE OF NEW YORK
STATE-SUPPORTED DEBT OUTSTANDING AT FISCAL YEAR END
(dollars in millions)

	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003^a
General Obligation	\$4,825	\$4,556	\$4,346	\$4,142	\$4,012
Certificates of Participation	511	503	435	297	185
Local Government Assistance Corp.	5,115	4,874	4,728	4,621	4,527
Lease/Purchase & Other Arrangements	25,391	26,863	27,449	27,560	30,282
Total	\$35,842	\$36,796	\$36,958	\$36,620	\$39,006

^a Projected as of September, 2002.

Table VII
NEW YORK CITY AND NEW YORK STATE ECONOMIC INDICATORS

	1999	2000	2001	2002^a	2003^a
Personal Income (\$ in billions)					
New York City	\$276.6	\$300.8	\$310.5 ^a	\$311.5	\$324.7
New York State	\$614.6	\$655.6	\$681.6 ^a	\$684.9	\$712.1
United States	\$7,777.0	\$8,319.0	\$8,724.0 ^a	\$9,001.0	\$9,500.0
Annual Wage Rate					
New York City	\$54,207	\$59,012	\$61,664 ^a	\$62,428	\$65,256
Percentage change	3.9%	8.9%	4.5%	1.2%	4.5%
New York State	\$43,332	\$46,250	\$47,925	\$47,730	\$49,381
Percentage change	4.3%	6.7%	3.6%	-0.4%	3.5%
United States	\$34,695	\$36,713	\$38,557	\$39,769	\$41,269
Percentage change	4.1%	5.8%	5.0%	3.1%	3.8%
Consumer Price Index (1982-84=100)^b					
New York Area	177.0	182.5	187.1	190.5	195.7
Percentage change	2.0%	3.1%	2.5%	1.8%	2.7%
United States	166.6	172.2	177.1	180.2	184.9
Percentage change	2.2%	3.4%	2.8%	1.8%	2.6%
Non-Agricultural Employment (thousands)^b					
New York City	3,621	3,723	3,702	3,621	3,656
Change from Prior Year	93	102	(21)	(81)	35
Percentage change	2.6%	2.8%	-0.6%	-2.2%	1.0%
New York State	8,455	8,632	8,691 ^a	8,595	8,679
Change from Prior Year	218	177	59	(96)	84
Percentage change	2.6%	2.1%	0.7%	-1.1%	1.0%
United States	128,900	131,800	132,200 ^a	131,700	133,700
Change from Prior Year	3,100	2,900	400	(500)	2,000
Percentage change	2.5%	2.2%	0.3%	-0.4%	1.5%
Persons Receiving Public Assistance (thousands)^c					
New York City	689	618	551	457	NA
New York State	1,039	898	765	674	687

^a Estimated or forecast. ^b Annual average. ^c Fiscal year average. NA - Not available.
City data forecast as of June, 2002. State data forecast as of January, 2002.

Sources for Tables I-VII: New York State Division of the Budget, New York City Office of Management and Budget, Office of the New York City Comptroller, Office of the New York State Comptroller, New York City Department of Finance and the New York City Council.

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